FULLUJAH

GO/NO GO CRITERIA

As of 21 April 2004 (1400 Hours)

This briefing is classified
SECRET
REL MINI
Derived from: Multiple sources
DECL ON: X1

Fallujah – 21 April v3a

We will not falter – We will not fail
Purpose

To provide an assessment on the status of conditions for both:

- the continued cessation of offensive operations, and

- the resumption of offensive operations
Original Conditions

- Stop all operations against the Coalition and the Iraqi Security Forces; turn in all restricted weapons.
- Identify and hand over the killers of the Blackwater contractors and if they are not now in Fallujah, provide information about where they are.
- ID and hand over the desecrators of bodies of the contractors.
- ID and apprehend foreign fighters.
- If foreign fighters are not currently in Fallujah, engage in an intel exchange about foreign fighter locations.
- ID those in the Iraqi Security Forces who fought against us. We will arrest them from the ISF, but will parole them to local leaders.
- Produce the Al Jazeera reporter who has been engaging in inflammatory incitement to violence, and let the IGC delegation bring him out of Fallujah.
19 April Joint Communiqué

**Conditions:**
- Full and unbroken ceasefire
- Turn-in all illegal weapons
- Restore regular and routine joint CF/ISF patrols
- Parties oversee urgent reformation of IPS and ICDC
- Police and IPS supported by residents of Fallujah and CF move to eliminate FF, criminals and drug users
- As quickly as possible, initiate through Iraqi investigations into criminal acts, killing and mutilation of contractors and attack on the IPS station in February
- Progress to be monitored on daily basis
- Consulations/reconvene daily, but no later than 25 April

**Concessions:**
- Unfettered access to Fallujah General Hospital
- Arrange for removal/burial of dead
- Arrange for provision of food and medicine
- Shorten hours of curfew
- Facilitate passage of official ambulance
- Allow security, medical and technical personnel access to the city to work
- Beginning 20 April, allow 50 families a day to return

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SecDef – 20 April

- Essential to hold to account those murderers with strong ties to Iraq’s deposed regime
- Demonstrate to those Iraqis who may feel disenfranchised that there is a place for them in a new free, peaceful Iraq
- The current state of affairs in Fallujah will not continue indefinitely
- Difficulty with these discussions, as I understand them, is that the people who are causing the trouble aren’t part of the discussions
- The chances of those negotiations producing an outcome along the lines described [negotiated settlement], it seems to me, realistically, to be difficult
- We will not negotiate away the opportunity to take those terrorists, foreign fighters, whoever they are into custody
- I’d just characterize it [negotiations] as it seems to me to be remote
Components of Go/No Go Criteria

Go/No Go criteria has four interrelated components depicted here in terms of relative importance.
## Assessment of Negotiations

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Assessment</th>
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<tbody>
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<td></td>
<td>Don't Continue</td>
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<td>Identification of ISF</td>
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### Overall Assessment of Negotiations

Conditions will probably not be met

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Assessment of Negotiations

- Willingness to negotiate
  - Assessment: 8 on a scale of 1-10
  - CJTF[C5]: Many channels – only question remains is if they can deliver

- Overall compliance
  - Observance of ceasefire
    - Assessment: 7 on a scale of 1-10
    - 1 MEF: 7 small arms and 9 Indirect Fires in last 24 hours (20 April)
  - Weapons turn-in
    - Assessment: 1 on a scale of 1-10
    - C2: No weapons turned in on 20 April
  - Identification of Foreign Fighters
    - Assessment: 1 on a scale of 10
    - C2: CJTF[X] still assesses 200 FF; Only five captured to date
  - Identification of Killers
    - Assessment: 3 on a scale of 1-10
    - C2: 8 names – single source identified; CJTF[X] believes there are more (Total 15-18)
  - Identification of Desecrators
    - Assessment: 1 on a scale of 1-10
    - C2: None identified – unknown number
  - Identification of ISF who fought against us
    - Assessment: 1 on a scale of 1-10
    - C2: None Identified

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### Assessment for Continuation of Offensive Operations

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<td>(x) No Go</td>
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<tr>
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<td>U.S. NCA support</td>
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<td>Operational readiness</td>
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<td>ISF showing up for work</td>
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Overall Assessment for Continuation of Offensive Operations

The balance of these are not yet clear

Fallujah - 21 April v3a

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Assessment for Continuation of Offensive Operations

- Consent of Iraqi leadership
  - Assessment: TBD on a scale of 1-10
  - POLAD: TBD
- Acceptance of Iraqi people
  - Assessment: TBD on a scale of 1-10
  - C2: TBD
- International/Coalition Support
  - Assessment: TBD on a scale of 1-10
  - C2: TBD
- U.S. NCA Support
  - Assessment: 7 on a scale of 1-10
  - C5: SecDef news conference was firm as to conditions must be met
- Operational Readiness
  - Assessment: TBD on a scale of 1-10
  - C3: Recon and Targeting Preparation ongoing
- ISF showing up to work
  - Assessment: 3 on a scale of 1-10
  - C3/I MEF: 27 IPS and 48 ICDC registered at the FLP; significantly more have shown up in the city with their ID Cards

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Scale of Perception

Coalition Walks Away | Maintain Cordon | Negotiated Settlement | Clear City by Force | Rubble Fallujah

CJTF | Iraqi | Int'l | US | ACF Fallujah

"Acceptable Actions" Zone

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Post-Vigilant Resolve
Fallujah End State

Fallujah

- Coalition freedom of action/movement restored
- FRE neutralized
- Foreign Fighters and terrorists captured/killed
- Restricted weapons reduced
- Reconstitution of ISF underway and conditions set for its return
- Status of local leaders enhanced
- Non-compliant elements marginalized
- Majority of populace tolerant of Coalition presence
Post-Vigilant Resolve
Sunni Endstate

Sunni "Belt"

- Unrest mitigated—similar uprisings averted
- Situation stabilized in Mosul, Tikrit, Kirkuk, etc.
- Flow of domestic "Mujahideen" to Fallujah minimized
- Popular acceptance of Coalition actions in Fallujah and elsewhere
- Progress toward popular rejection of FF and terrorists
- FRE contained
- FRE alliance averted
- Credible and Capable ISF reconstituted

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Post-Vigilant Resolve
Iraq Endstate

Iraq

- Anti-Coalition activities reduced
- Popular acceptance of Coalition actions in Fallujah and elsewhere
- Stature of Iraqi leaders and institutions enhanced
- IGC preserved and its legitimacy enhanced
- Situation stabilized in Najaf and Karbala
- Credible and capable ISF reconstituted and militias integrated
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Casualties Across Iraq

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Casualties for MND(W)

# of Casualties

0  20  40  60  80  100  120  140


Coalition KIA
Coalition WIA
Enemy KIA
Enemy WIA

Sum of coalitionKIA  Sum of coalitionWIA  Sum of enemyKIA  Sum of enemyWIA

Fallujah - 21 April v3a

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Enemy Attacks in Fallujah

- SAFIRE/SAM
- IED / Mine
- Rocket / Mortar
- Small Arms / RPG
- Other

Scale: 1:100,000

Fallujah

Source: CJTF-7 SigActs 20 APR 04

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Summary

- We are not on track to achieve a negotiated settlement – progress to date has not indicated that our demands can be met

- We are on track to meet the requirements of CENTCOM FRAGO 09-556

Staff Recommendation: TBD

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Backup

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Daily Attacks in Fallujah

20 MAR - 19 APR 04
Daily Attacks in Fallujah
20 MAR - 19 APR 04

Source: CIHF
SIGACTS 20 APR 04

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