

UNCLASSIFIED



FULLUJAH

GO/NO GO CRITERIA

As of 21 April 2004
(1400 Hours)

This briefing is classified

~~SECRET~~

~~REL MCFI~~

Derived from: Multiple sources

DECL ON: X1

~~SECRET//REL MCFI//X1~~



Purpose

To provide an assessment on the status of conditions for both:

- the continued cessation of offensive operations, and
- the resumption of offensive operations

~~SECRET//REL MCFI//X1~~



Original Conditions

- Stop all operations against the Coalition and the Iraqi Security Forces; turn in all restricted weapons
- Identify and hand over the killers of the Blackwater contractors and if they are not now in Fallujah, provide information about where they are
- ID and hand over the desecrators of bodies of the contractors
- ID and apprehend foreign fighters
- If foreign fighters are not currently in Fallujah, engage in an intel exchange about foreign fighter locations
- ID those in the Iraqi Security Forces who fought against us. We will remove them from the ISF; but will parole them to local leaders
- Produce the Al Jazeera reporter who has been engaging in inflammatory incitement to violence, and let the IGC delegation bring him out of Fallujah

Red Line

Yellow Line

~~SECRET//REL MCFI//X1~~



19 April Joint Communique

■ Conditions:

- Full and unbroken ceasefire
- Turn-in all illegal weapons
- Restore regular and routine joint CF/ISF patrols
- Parties oversee urgent reformation of IPS and ICDC
- Police and IPS supported by residents of Fallujah and CF move to eliminate FF, criminals and drug users
- As quickly as possible, initiate through Iraqi investigations into criminal acts, killing and mutilation of contractors and attack on the IPS station in February
- Progress to be monitored on daily basis
- Consulations/reconvene daily, but no later than 25 April

■ Concessions:

- Unfettered access to Fallujah General Hospital
- Arrange for removal/burial of dead
- Arrange for provision of food and medicine
- Shorten hours of curfew
- Facilitate passage of official ambulance
- Allow security, medical and technical personnel access to the city to work
- Beginning 20 April, allow 50 families a day to return

~~SECRET//REL MCFI//X1~~



SecDef – 20 April

- Essential to hold to account those murderers with strong ties to Iraq's deposed regime
- Demonstrate to those Iraqis who may feel disenfranchised that there is a place for them in a new free, peaceful Iraq
- The current state of affairs in Fallujah will not continue indefinitely
- Difficulty with these discussions, as I understand them, is that the people who are causing the trouble aren't part of the discussions
- The chances of those negotiations producing an outcome along the lines described [negotiated settlement], it seems to me, realistically, to be difficult
- We will not negotiate away the opportunity to take those terrorists, foreign fighters, whoever they are into custody
- I'd just characterize it [negotiations] as it seems to me to be remote

~~SECRET//REL MCFI//X1~~



Components of Go/No Go Criteria



Go/No Go criteria has four interrelated components depicted here in terms of relative importance

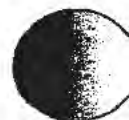
~~SECRET//REL MCFI//X1~~



Assessment of Negotiations

<u>Criteria</u>	<u>Assessment</u>	
	Don't Continue	Continue
• Willingness to negotiate		
• Overall compliance		
– Observance of ceasefire		
– Weapons turn-in		
– Identification of Foreign Fighters		
– Identification of Killers		
– Identification of Desecrators		
– Identification of ISF		

Overall Assessment of Negotiations



Conditions will probably not be met

~~SECRET//REL MCFI//X1~~



Assessment of Negotiations

■ Willingness to negotiate

- Assessment: 8 on a scale of 1-10
- CJTF-1.4a (C5): Many channels – only question remains is if they can deliver

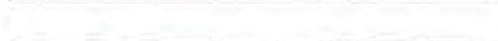
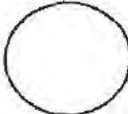
■ Overall compliance

- Observance of ceasefire
 - Assessment: 7 on a scale of 1-10
 - 1 MEF: 7 small arms and 9 Indirect Fires in last 24 hours (20 April)
- Weapons turn-in
 - Assessment: 1 on a scale of 1-10
 - C2: No weapons turned in on 20 April
- Identification of Foreign Fighters
 - Assessment: 1 on a scale of 10
 - C2: CJTF-1.4a still assesses 200 FF; Only five captured to date
- Identification of Killers
 - Assessment: 3 on a scale of 1-10
 - C2: 8 names – single source identified; CJTF-1.4a believes there are more (Total 15-18)
- Identification of Desecrators
 - Assessment: 1 on a scale of 1-10
 - C2: None identified – unknown number
- Identification of ISF who fought against us
 - Assessment: 1 on a scale of 1-10
 - C2: None Identified

~~SECRET//REL MCFI//X1~~



Assessment for Continuation of Offensive Operations

<u>Criteria</u>	<u>Assessment</u>	
	No Go	Go
• Overall Negotiation		
• Consent of Iraqi leadership		⊗ TBD
• Acceptance of Iraqi people		⊗ TBD
• International/Coalition Support		⊗ TBD
• U.S. NCA support		
• Operational readiness		⊗ TBD
• ISF showing up for work		
Overall Assessment for Continuation of Offensive Operations		 The balance of these are not yet clear

~~SECRET//REL MCFI//X1~~



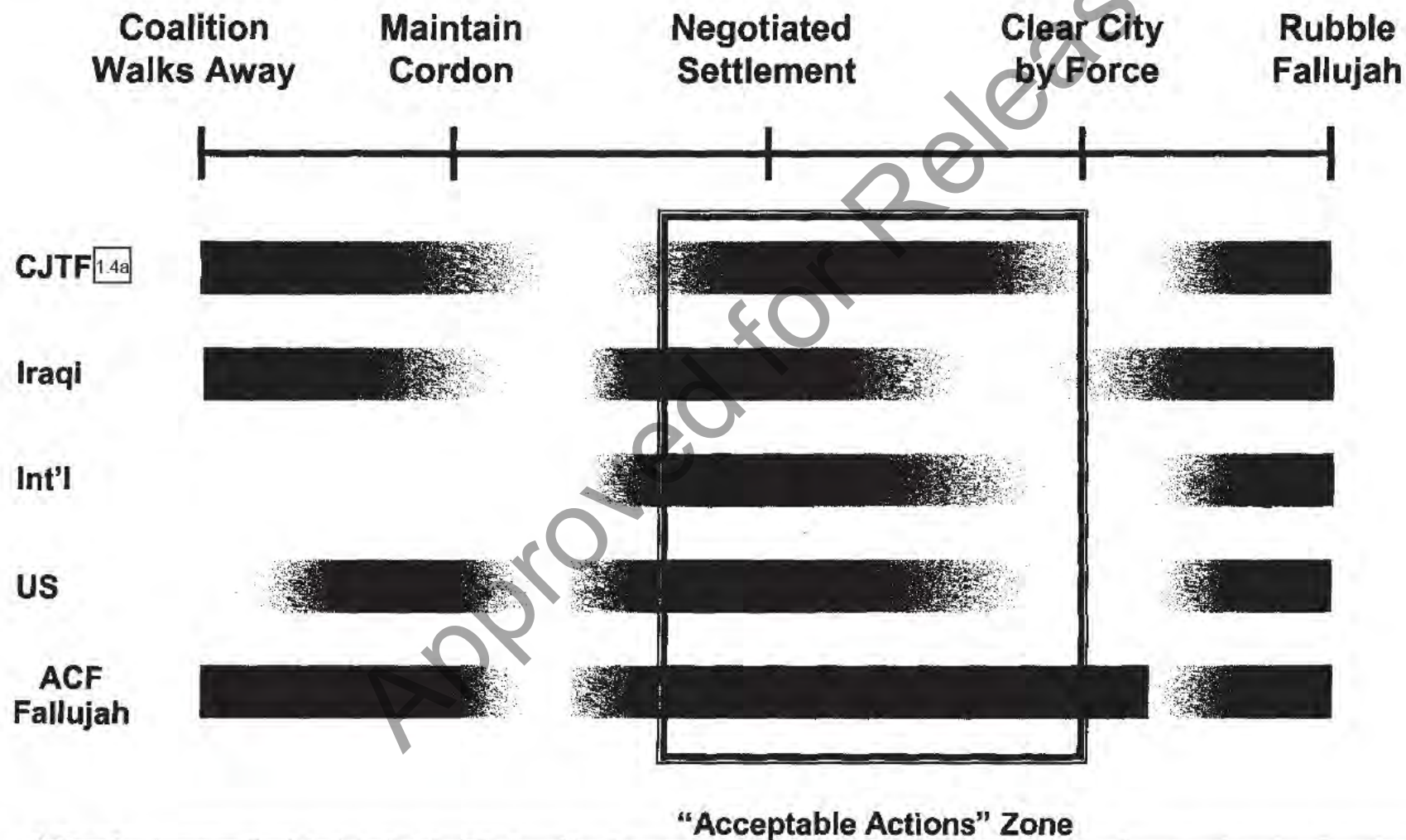
Assessment for Continuation of Offensive Operations

- Consent of Iraqi leadership
 - Assessment: TBD on a scale of 1-10
 - POLAD: TBD
- Acceptance of Iraqi people
 - Assessment: TBD on a scale of 1-10
 - C2: TBD
- International/Coalition Support
 - Assessment: TBD on a scale of 1-10
 - C2: TBD
- U.S. NCA Support
 - Assessment: 7 on a scale of 1-10
 - C5: SecDef news conference was firm as to conditions must be met
- Operational Readiness
 - Assessment: TBD on a scale of 1-10
 - C3: Recon and Targeting Preparation ongoing
- ISF showing up to work
 - Assessment: 3 on a scale of 1-10
 - C3/I MEF: 27 IPS and 48 ICDC registered at the FLP; significantly more have shown up in the city with their ID Cards

~~SECRET//REL MCFI//X1~~



Scale of Perception



~~SECRET//REL MCFI//X1~~



Components of Go/No Go Criteria

Green → Go



Go/No Go criteria has four interrelated components depicted here in terms of relative importance

~~SECRET//REL MCFI//X1~~



Post-Vigilant Resolve Fallujah End State

Fallujah

- Coalition freedom of action/movement restored
- FRE neutralized
- Foreign Fighters and terrorists captured/killed
- Restricted weapons reduced
- Reconstitution of ISF underway and conditions set for its return
- Status of local leaders enhanced
- Non-compliant elements marginalized
- Majority of populace tolerant of Coalition presence

~~SECRET//REL MCFI//X1~~



Post-Vigilant Resolve Sunni Endstate

Sunni “Belt”

- Unrest mitigated—similar uprisings averted
- Situation stabilized in Mosul, Tikrit, Kirkuk, etc.
- Flow of domestic “Mujahideen” to Fallujah minimized
- Popular acceptance of Coalition actions in Fallujah and elsewhere
- Progress toward popular rejection of FF and terrorists
- FRE contained
- FRE alliance averted
- Credible and Capable ISF reconstituted

~~SECRET//REL MCFI//X1~~



Post-Vigilant Resolve Iraq Endstate

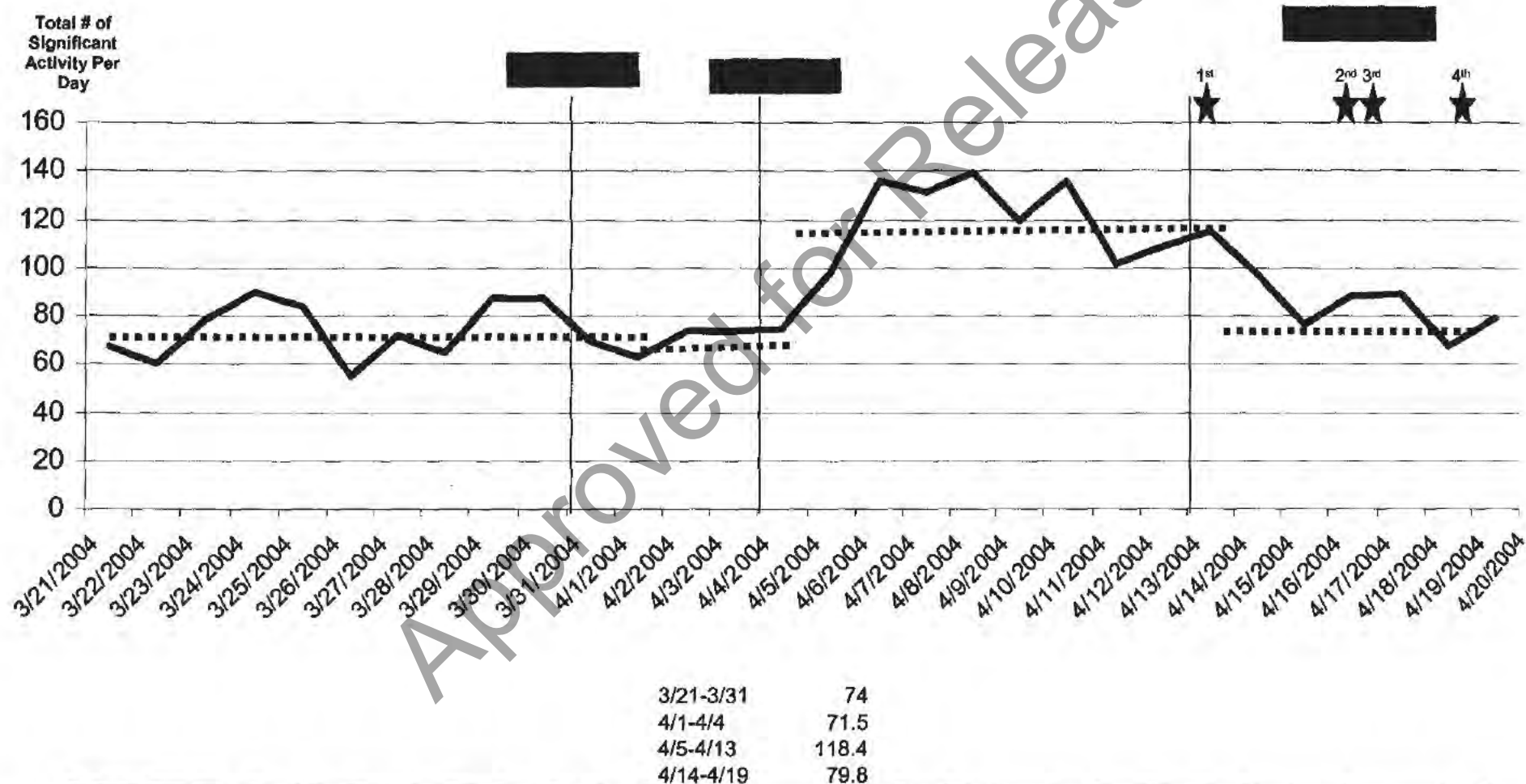
Iraq

- Anti-Coalition activities reduced
- Popular acceptance of Coalition actions in Fallujah and elsewhere
- Stature of Iraqi leaders and institutions enhanced
- IGC preserved and its legitimacy enhanced
- Situation stabilized in Najaf and Karbala
- Credible and capable JSF reconstituted and militias integrated

~~SECRET//REL MCFI//X1~~



Significant Activity Iraq



Fallujah - 21 April v3a

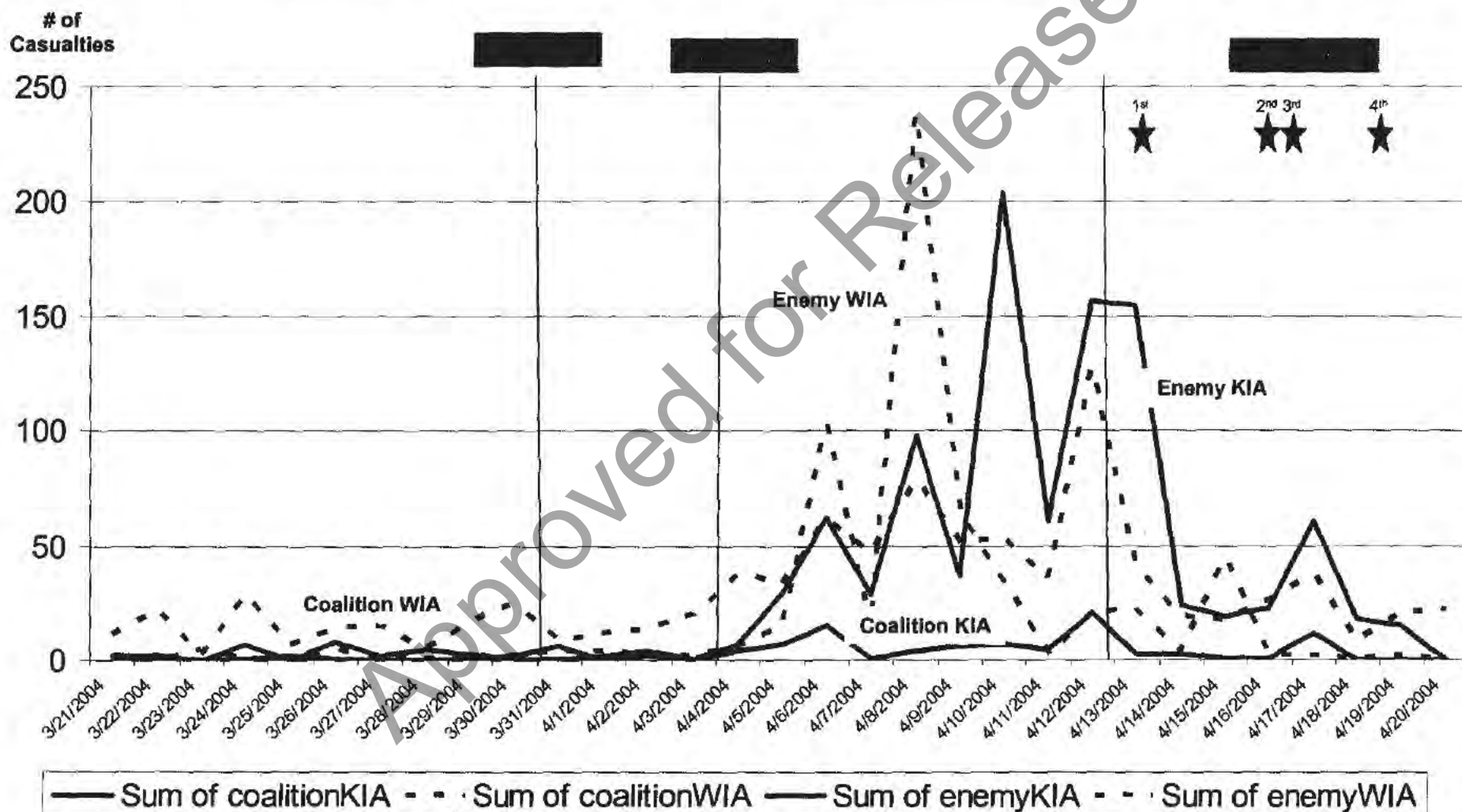
We will not falter – We will not fail

~~SECRET//REL MCFI//X1~~

~~SECRET//REL MCFI//X1~~



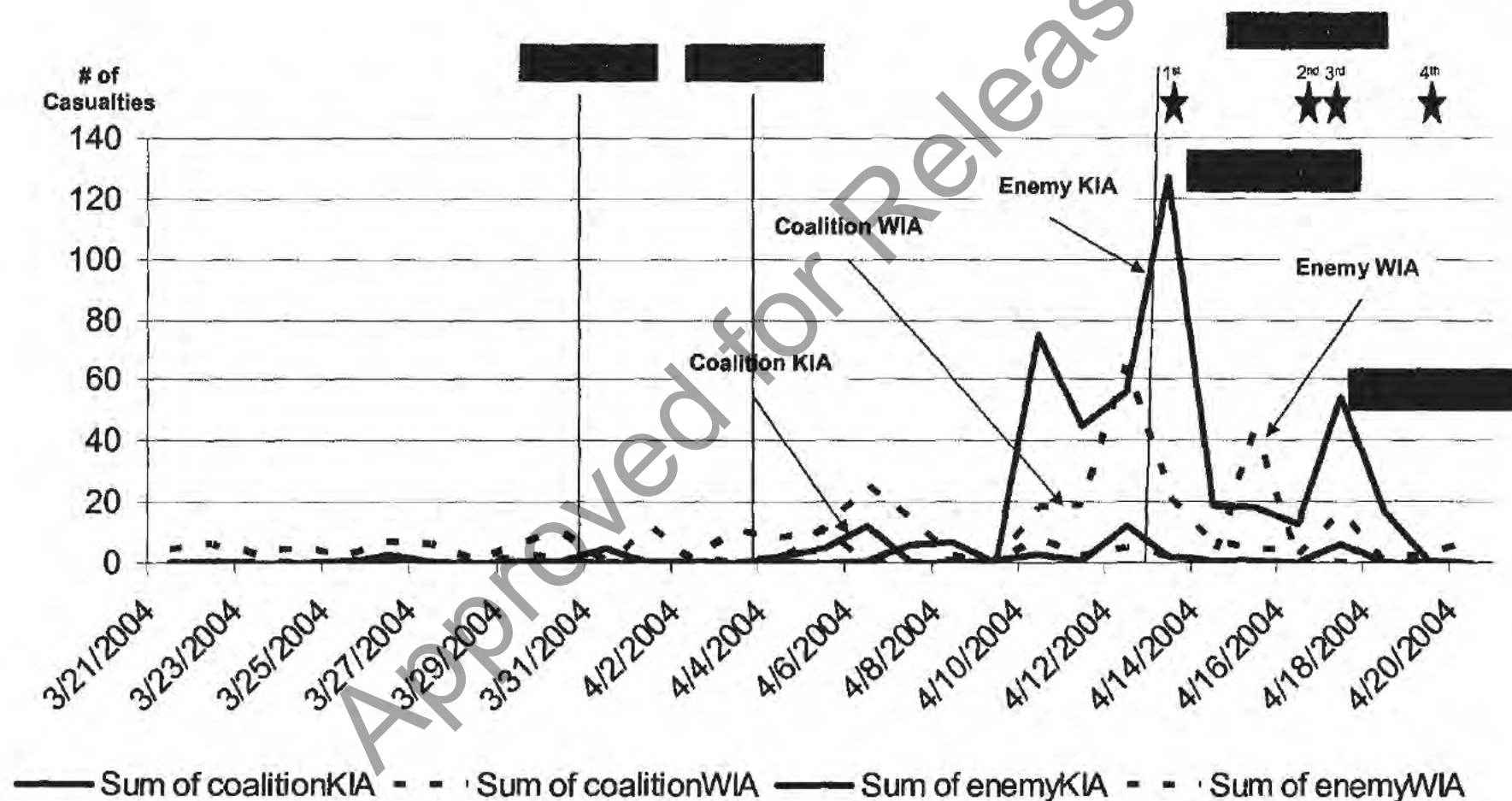
Casualties Across Iraq



~~SECRET//REL MCFI//X1~~



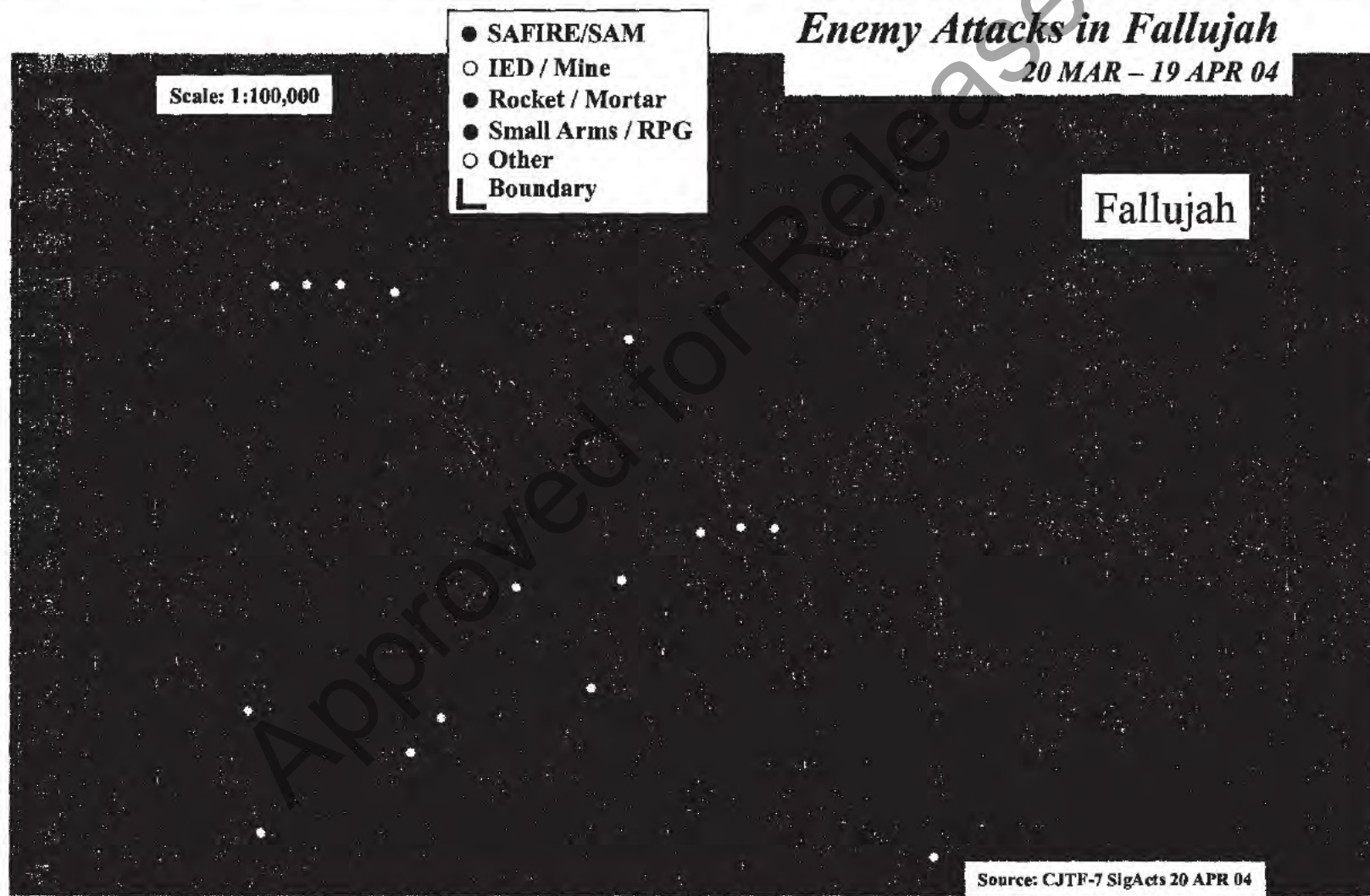
Casualties for MND(W)



~~SECRET//REL MCFI//X1~~



Enemy Attacks in Fallujah



Fallujah – 21 April v3a

We will not falter – We will not fail

~~SECRET//REL MCFI//X1~~

~~SECRET//REL MCFI//X1~~



Summary

- We are not on track to achieve a negotiated settlement – progress to date has not indicated that our demands can be met
- We are on track to meet the requirements of CENTCOM FRAGO 09-556

Staff Recommendation: TBD

~~SECRET//REL MCFI//X1~~



Backup

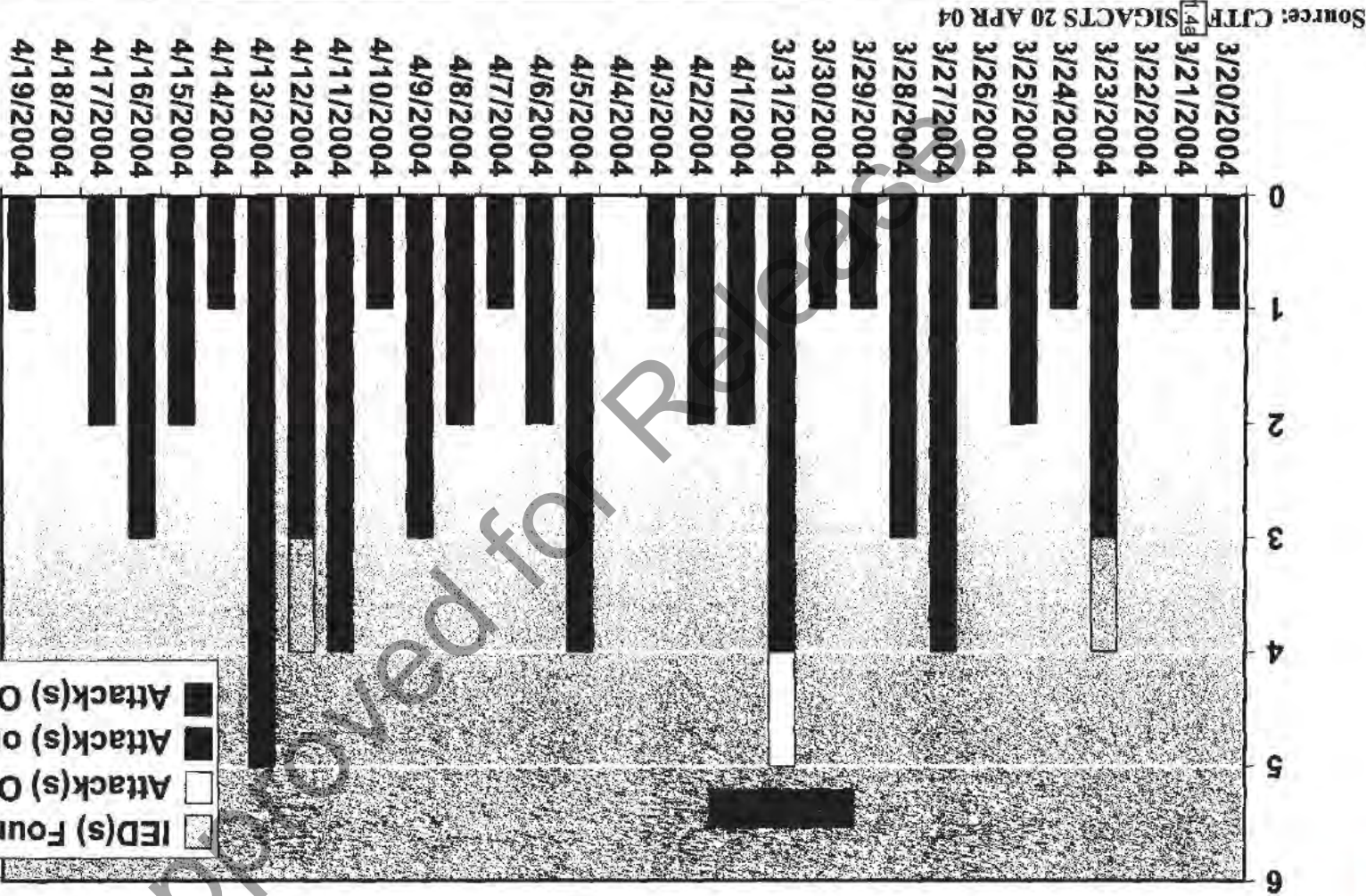
Approved for Release

Daily Attacks in Fallujah 20 MAR - 19 APR 04



~~SECRET//REL MCFI//X1~~

Declassified by: MG Michael X. Garrett,
USCENTCOM Chief of Staff
Declassified on: 201506



We will not falter2 We will not fail

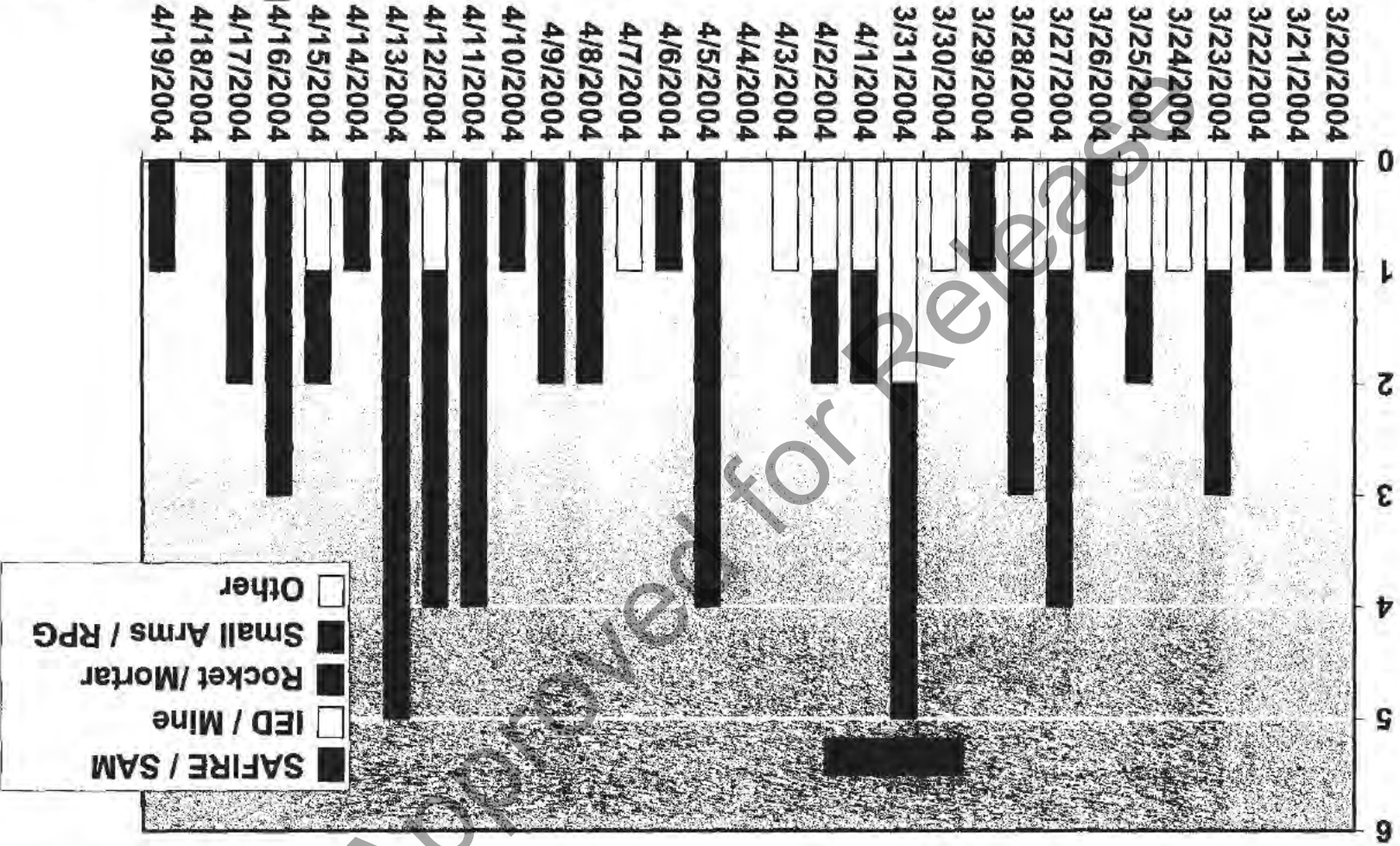
~~SECRET//REL MCFI//X1~~

Fallujah - 21 April v3a

~~SECRET//REL MCFI//X1~~



Daily Attacks in Fallujah 20 MAR - 19 APR 04



We will not falter - We will not fail

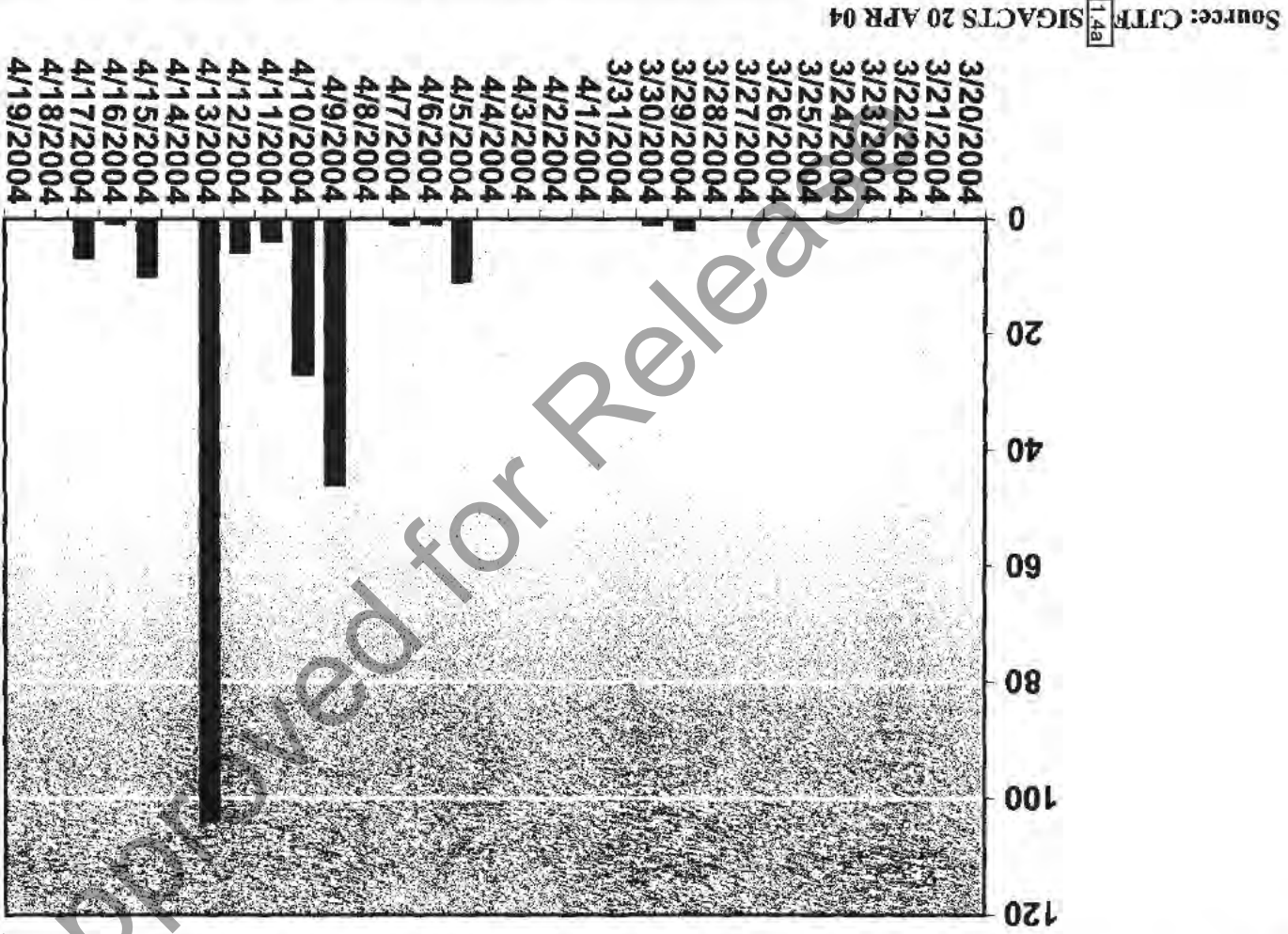
Fallujah - 21 April v3a

~~SECRET//REL MCFI//X1~~

~~SECRET//REL MCFI//X1~~



Enemy Casualties in Fallujah 20 MAR - 19 APR 04



Fallujah - 21 April v3a

We will not falter - We will not fail

~~SECRET//REL MCFI//X1~~